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	S.E.C. Registration Number
	UP, INC.
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(Company's Full Name	
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EXCHANGE ROAD ORT	I G A S C E N T E R
	(Town/Province)
P A S I G C I T Y (Business Address: No. Street City	636-54-11
Atty. Sharon T. Lim	Company Telephone Number
Contact Person	
1 2 3 1 SEC FORM 17	7-C 0 3 Day
Month Day FORM TYPE	Annual Meeting
Fiscal Year Secondary License Type	If Applicable
Secondary License Type	, 117, 199
	Amended Articles Number/Section
Dept. Requiring this Doc.	Total Amount of Borrowings
	none
Total Number of Stockholders	Domestic Foreign
To be accomplished by SEC Person	nel concerned
File Number	LCU
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SEC FORM 17-C



	· ·
1.	8 February 2019 Date of Report (Date of earliest event reported)
2.	SEC Identification Number A199910065 3. BIR Tax Identification No. 203523208
4.	COL Financial Group, Inc.  Exact name of issuer as specified in its charter  (SEC Use Only)
5.	Manila, Philippines Province, country or other jurisdiction of incorporation
7.	24/F East Tower, PSE Centre, Exchange Road, Ortigas Centre, Pasig City 1605  Address of principal office  Postal Code
8.	(02) 636-5411 Issuer's telephone number, including area code
9	Farmer name or former address, if changed since last approximately
1	Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA
	Title of Each Class  Number of Shares of Common Stock  Outstanding and Amount of Debt Outstanding
	<u>Common Shares</u> 476,000,000
	11. Indicate the item numbers reported herein: Item #9

Please be informed that at the meeting of the Board of Directors of COL Financial Group, Inc. (the "Company") held today, 8 February 2019, the following resolutions were approved:

- 1. Appointment of Atty. Sharon T. Lim as a member of the Nomination Committee.
- 2. Setting of the Annual Stockholders' Meeting on 29 March 2019, Friday, 2:00 p.m. at Crowne Plaza Manila Galleria, Ortigas Avenue corner Asian Development Bank Avenue, Ortigas Center.

Only stockholders of record at the close of business day on 28 February 2019 are entitled to notice of and to vote at said meeting and any adjournment thereof. As per the By-Laws of the Company, the Stock and Transfer Books of the Company will be closed from 28 February 2019 to 07 March 2019.

Thank you.

### **SIGNATURES**

Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

COL Financial Group, Inc.

Issuer

8 February 2019
Date

STEPHANIE FAYE B. REYES Assistant Corporate Secretary

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#### SEC FORM 17-C

1.	1 March 2019 Date of Report (Date of earliest event reported)
2.	SEC Identification Number A199910065 3. BIR Tax Identification No. 203523208
4.	COL Financial Group, Inc.  Exact name of issuer as specified in its charter
5.	Manila, Philippines  Province, country or other jurisdiction of incorporation  6. (SEC Use Only)  Industry Classification Code:
7.	24/F East Tower, PSE Centre, Exchange Road, Ortigas Centre, Pasig City 1605  Address of principal office Postal Code
8.	(02) 636-5411 Issuer's telephone number, including area code
9.	Not Applicable Former name or former address, if changed since last report
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	Title of Each Class  Number of Shares of Common Stock  Outstanding and Amount of Debt Outstanding
	<u>Common Shares</u> <u>476,000,000</u>
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11.	Indicate the item numbers reported herein: Item #9
	*

Please be informed that at the Special Board Meeting of the Board Directors of COL Financial Group, Inc. held today, 1 March 2019, the Board approved and authorized the release of the Audited Financial Statements of the Group and the Parent Company as of December 31, 2018 and 2017 and for each of the three (3) years in the period ended December 31, 2018.

Thank you.

#### SIGNATURES

Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

COL Financial Group, Inc.

Issuer

1 March 2019 Date

SHARON T. LIM
Corporate Secretary

### COVER SHEET

S.E.C. Registration Number

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#### SEC FORM 17-C



1.	29 March 2019 Date of Report (Date of earliest event reported)
2.	SEC Identification Number <u>A199910065</u>
3.	BIR Tax Identification No. 203523208
4.	COL Financial Group, Inc.  Exact name of issuer as specified in its charter
5.	Manila, Philippines Province, country or other jurisdiction of incorporation
6.	Industry Classification Code: (SEC Use Only)
7.	24/F East Tower, PSE Centre, Exchange Road, Ortigas Centre, Pasig City Address of principal office  1605 Postal Code
8.	(02) 636-5411 Issuer's telephone number, including area code
9.	Not applicable Former name or former address, if changed since last report
10.	Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA
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	<u>Common Shares</u> <u>476,000,000</u>
11.	Indicate the item numbers reported herein: <u>Item #9</u>

Please be informed that at the Special Meeting of the Board of Directors of COL Financial Group, Inc. held today, 29 March 2019, the Board approved the declaration and payment from the unappropriated retained earnings of the Company as of 31 December 2018, the following cash dividends:

- a. Regular Cash Dividend of  $\stackrel{\square}{=}$  0.21 per share, payable on 3 May 2019 to stockholders of record as of 16 April 2019; and
- b. Special Cash Dividend of ₽ 0.64 per share, payable on 3 May 2019 to stockholders of record as of 16 April 2019.

Thank you.

#### **SIGNATURES**

Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

COL Financial Group, Inc.

Issue

29 March 2019

Date

SHARON T. LIM

Corporate Secretary

### COVER SHEET

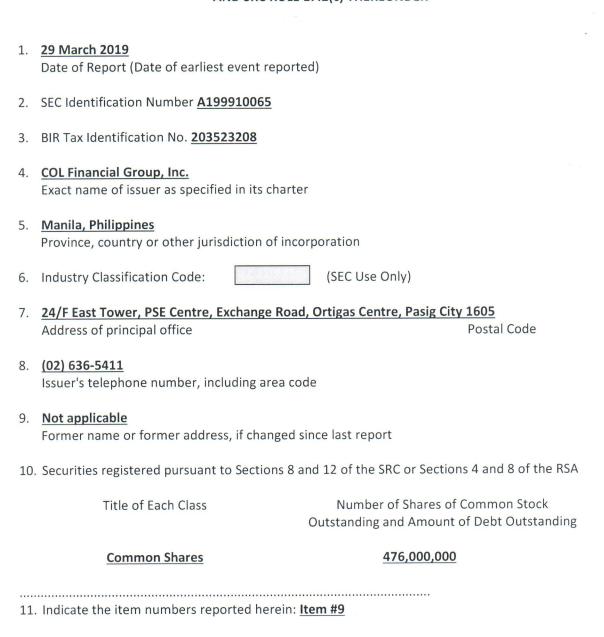
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Please be informed that at the Annual Stockholders' Meeting of COL Financial Group, Inc. held today, 29 March 2019, the following matters were taken up and approved by the stockholders:

1. Election of the following as members of the Board of Directors for the year 2019 - 2020:

Edward K. Lee Chairman Alexander C. Yu Vice Chairman Hernan G. Lim Director Conrado F. Bate Director Catherine L. Ong Director Raymond C. Yu Director Paulwell Han Director Wellington C. Yu Director Kido Hokushin Director

Khoo Boo Boon Lead Independent Director Independent Director Arthur Gerrard Gindap

- 2. Approval of the 2018 Audited Financial Statements; and
- 3. Re-appointment of SGV & Co. as external auditors for the ensuing year.

Immediately after the stockholders' meeting, the newly elected directors convened for an organizational meeting to appoint/elect the following corporate officers and members of the various committees:

Chairman

#### 1. Officers

Edward K. Lee Vice Chairman Alexander C. Yu President and CEO Conrado F. Bate Catherine L. Ong Treasurer and CFO **Chief Audit Executive** Chief Risk Officer Corporate Secretary Sharon T. Lim Compliance Officer

Juan G. Barredo Assistant Corporate Secretary Stephanie Faye B. Reyes **Assistant Corporate Secretary** 

#### 2. Committees and Memberships

#### Compensation and Remuneration Committee

Alexander C. Yu	=	Chairman
Wellington C. Yu	-	Member
Catherine L. Ong	-	Member

#### **Audit Committee**

Khoo Boo Boon - Chairman Raymond C. Yu - Member Hernan G. Lim - Member Wellington C. Yu - Member

#### **Nomination Committee**

Arthur Gerrard Gindap - Chairman Alexander C. Yu - Member Sharon T. Lim - Member

Thank you.

#### **SIGNATURES**

Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**COL Financial Group, Inc.** 

Issuer/

29 March 2019 Date

STEPHANIE FAYE B. REYES
Assistant Corporate Secretary

SEC Form 17-C December 2003

### COVER SHEET

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#### SEC FORM 17-C

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1.	17 May 2019 Date of Report (Date of earliest event reported)
2.	SEC Identification Number A199910065 3. BIR Tax Identification No. 203523208
4.	COL Financial Group, Inc.  Exact name of issuer as specified in its charter
5.	Manila, Philippines Province, country or other jurisdiction of incorporation  6. (SEC Use Only) Industry Classification Code:
7.	24/F East Tower, PSE Centre, Exchange Road, Ortigas Centre, Pasig City 1605 Address of principal office Postal Code
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	Title of Each Class  Number of Shares of Common Stock  Outstanding and Amount of Debt  Outstanding
	<u>Common Shares</u> <u>476,000,000</u>
11.	Indicate the item numbers reported herein: Item #9

Please be informed that at the Board of Directors meeting of COL Financial Group, Inc. ("the Company"), held today, 17 May 2019, the Operations and Financial Report of the Company for the quarter ended 31 March 2019 was approved.

The Company's Quarterly Report on SEC Form 17-Q for the said period will be filed on or before 20 May 2019.

Thank you.

#### **SIGNATURES**

Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

COL Financial Group, Inc.

Issuer

ATTY. SHARON T. LIM
Corporate Secretary

17 May 2019 Date



108192019000993



### SECURITIES AND EXCHANGE COMMISSION

 $SECBuilding, EDSA, Greenhills, Mandaluyong City, Metro Manila, Philippines \\ Tel: (632) 726-0931 to 39 Fax: (632) 725-5293 Email: mis@sec.gov.ph$ 

#### **Barcode Page**

The following document has been received:

Receiving Officer/Encoder: Mark Anthony R. Osena

Receiving Branch : SEC Head Office

Receipt Date and Time: August 19, 2019 12:02:55 PM

Received From : Head Office

Company Representative

Doc Source

Company Information

SEC Registration No. A199910065

Company Name COL FINANCIAL GROUP, INC. DOING BUSINESS UNDER-

THE NAMES AND STYLES OF CITISECONLINE.COM; COL FINANCIA

Industry Classification

Company Type Stock Corporation

#### **Document Information**

Document ID 108192019000993

Document Type 17-C (FORM 11-C:CURRENT DISCL/RPT)

Document Code 17-C

Period Covered August 16, 2019

No. of Days Late 0

Department CFD

Remarks

### COVER SHEET

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#### SEC FORM 17-C

1.	16 August 2019 Date of Report (Date of earliest event reported)
2.	SEC Identification Number A199910065 3. BIR Tax Identification No. 203523208
4.	COL Financial Group, Inc.  Exact name of issuer as specified in its charter
5.	Manila, Philippines Province, country or other jurisdiction of incorporation  6. (SEC Use Only) Industry Classification Code:
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	Title of Each Class  Number of Shares of Common Stock  Outstanding and Amount of Debt  Outstanding
	<u>Common Shares</u> <u>476,000,000</u>
11.	Indicate the item numbers reported herein: Item #9

Please be informed that the Board of Directors of COL Financial Group, Inc. ("the Company"), during its meeting held today, 16 August 2019, approved the Operations and Financial Report of the Company for the quarter ended 30 June 2019.

The Company's Quarterly Report on SEC Form 17-Q for the said period will be filed on or before 19 August 2019.

Thank you.

#### SIGNATURES

Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

COL Financial Group, Inc.

Issuer,

ATTY. SHARON T. LIM Corporate Secretary 16 August 2019 Date



09092019000592



### SECURITIES AND EXCHANGE COMMISSION

SECBuilding, EDSA, Greenhills, Mandaluyong City, Metro Manila, Philippines Tel: (632) 726-0931 to 39 Fax: (632) 725-5293 Email: mis@sec.gov.ph

#### **Barcode Page**

The following document has been received:

Receiving Officer/Encoder : Fernando T. Fernandez

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Received From : Head Office

Company Representative

Doc Source

Company Information

SEC Registration No. A199910065

Company Name COL FINANCIAL GROUP, INC. DOING BUSINESS UNDER-

THE NAMES AND STYLES OF CITISECONLINE.COM; COL FINANCIA

Industry Classification

Company Type Stock Corporation

**Document Information** 

Document ID 109092019000592

Document Type 17-C (FORM 11-C:CURRENT DISCL/RPT)

Document Code 17-C

Period Covered September 06, 2019

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No. of Days Late 0
Department CFD

Remarks

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## COVER SHEET

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#### SEC FORM 17-C

1.	Date of Report (Date of earliest event reported)
2.	SEC Identification Number A199910065 3. BIR Tax Identification No. 203523208
4.	COL Financial Group, Inc.  Exact name of issuer as specified in its charter
5.	Manila, Philippines  Province, country or other jurisdiction of incorporation  6. (SEC Use Only)  Industry Classification Code:
7.	24/F East Tower, PSE Centre, Exchange Road, Ortigas Centre, Pasig City 1605  Address of principal office Postal Code
8.	(02) 636-5411 Issuer's telephone number, including area code
9.	Not Applicable Former name or former address, if changed since last report
10.	Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA
. *	Title of Each Class  Number of Shares of Common Stock  Outstanding and Amount of Debt Outstanding
	<u>Common Shares</u> <u>476,000,000</u>
11.	Indicate the item numbers reported herein: <u>Item #9</u>

Please be informed that the Securities and Exchange Commission has approved the primary registration of the following subsidiaries of the Company:

- 1. COL Equity Index Unitized Mutual Fund Inc.
- 2. COL Cash Management Unitized Mutual Fund Inc.
- 3. COL Investment Management Inc.

#### **SIGNATURES**

Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

COL Financial Group, Inc.

Issuer

Atty. Sharon T. Lim Corporate Secretary 6 September 2019

Date



10092019000873



### SECURITIES AND EXCHANGE COMMISSION

SECBuilding, EDSA, Greenhills, MandaluyongCity, MetroManila, Philippines Tel: (632) 726-0931 to 39 Fax: (632) 725-5293 Email: mis@sec.gov.ph

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Company Information

SEC Registration No. A199910065

Company Name COL FINANCIAL GROUP, INC. DOING BUSINESS UNDER-

THE NAMES AND STYLES OF CITISECONLINE.COM; COL FINANCIA

Industry Classification

Company Type Stock Corporation

#### **Document Information**

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#### SEC FORM 17-C

1.	<u>7 October 2019</u> Date of Report (Date of earliest event reported)
2.	SEC Identification Number A199910065 3. BIR Tax Identification No. 203523208
4.	COL Financial Group, Inc.  Exact name of issuer as specified in its charter
5.	Manila, Philippines Province, country or other jurisdiction of incorporation  6. (SEC Use Only) Industry Classification Code:
7.	24/F East Tower, PSE Centre, Exchange Road, Ortigas Centre, Pasig City 1605 Address of principal office Postal Code
8.	(02) 636-5411 Issuer's telephone number, including area code
9.	Not Applicable Former name or former address, if changed since last report
10	Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA
	Title of Each Class  Number of Shares of Common Stock  Outstanding and Amount of Debt  Outstanding
	<u>Common Shares</u> <u>476,000,000</u>
• • • •	
11	. Indicate the item numbers reported herein: Item #9
_	

Please be informed of the new contact details of COL Financial Group, Inc. as follows:

Telephone Number :

(02) 8636-5411

Fax Number

(02) 8634-6958

Thank you.

#### SIGNATURES

Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

COL Financial Group, Inc.

Issuer

8 October 2019 Date

ATTY. SHARON T. LIM Corporate Secretary



111182019000563



### SECURITIES AND EXCHANGE COMMISSION

SECBuilding, EDSA, Greenhills, MandaluyongCity, MetroManila, Philippines Tel: (632) 726-0931 to 39 Fax: (632) 725-5293 Email: mis@sec.gov.ph

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Company Representative

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Company Information

SEC Registration No. A199910065

Company Name COL FINANCIAL GROUP, INC. DOING BUSINESS UNDER-

THE NAMES AND STYLES OF CITISECONLINE.COM; COL FINANCI/

Industry Classification

Company Type Stock Corporation

#### **Document Information**

Document ID 111182019000563

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Document Code 17-C

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Department CFD

Remarks

### COVER SHEET

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#### SEC FORM 17-C

#### CURRENT REPORT UNDER SECTION 17 OF THE SECURITIES REGULATION CODE AND SRC RULE 17.2(c) THEREUNDER

1.	15 November 2019 Date of Report (Date of earliest event reported)
2.	SEC Identification Number A199910065 3. BIR Tax Identification No. 203523208
4.	COL Financial Group, Inc.  Exact name of issuer as specified in its charter
5.	Manila, Philippines Province, country or other jurisdiction of incorporation  6. (SEC Use Only) Industry Classification Code:
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	<u>Common Shares</u> 476,000,000
11.	Indicate the item numbers reported herein: Item #9
-	

SEC Form 17-C December 2003

Please be informed that at the Board of Directors meeting of COL Financial Group, Inc. ("the Company"), held today, 15 November 2019, the Operations and Financial Report of the Company for the quarter ended 30 September 2019 was approved.

The Company's Quarterly Report on SEC Form 17-Q for the said period will be filed on or before 20 November 2019.

Thank you.

#### **SIGNATURES**

Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

COL Financial Group, Inc.

Issuer

ATTY. SHARON T. LIM
Corporate Secretary

15 November 2019 Date