

# COVER SHEET

A 1 9 9 9 1 0 0 6 5

S.E.C. Registration Number

COL FINANCIAL GROUP, INC.

(Company's Full Name)

24 / F PSE CENTRE EAST TOWER  
EXCHANGE ROAD ORTIGAS CENTER  
PASIG CITY

(Business Address: No. Street City/Town/Province)

Atty. Sharon T. Lim

Contact Person

636-54-11

Company Telephone Number

1 2    3 1  
Month    Day  
Fiscal Year

SEC FORM 17-C

FORM TYPE

0 3        
Month    Day  
Annual Meeting

Secondary License Type, If Applicable

Dept. Requiring this Doc.

Amended Articles Number/Section

Total Number of Stockholders

Total Amount of Borrowings  
Domestic    none  
Foreign

To be accomplished by SEC Personnel concerned

File Number

LCU

Document I.D.

Cashier

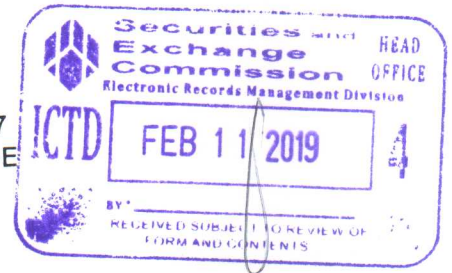
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SECURITIES AND EXCHANGE COMMISSION

SEC FORM 17-C

CURRENT REPORT UNDER SECTION 17 OF THE SECURITIES REGULATION CODE AND SRC RULE 17.2(c) THEREUNDER



1. 8 February 2019  
Date of Report (Date of earliest event reported)
2. SEC Identification Number A199910065 3. BIR Tax Identification No. 203523208
4. COL Financial Group, Inc.  
Exact name of issuer as specified in its charter
5. Manila, Philippines  
Province, country or other jurisdiction of incorporation
6.  (SEC Use Only)  
Industry Classification Code:
7. 24/F East Tower, PSE Centre, Exchange Road, Ortigas Centre, Pasig City 1605  
Address of principal office Postal Code
8. (02) 636-5411  
Issuer's telephone number, including area code
9. Not Applicable  
Former name or former address, if changed since last report
10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA

Title of Each Class

Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding

Common Shares

476,000,000

11. Indicate the item numbers reported herein: Item #9

**Item 9. Other Events**

Please be informed that at the meeting of the Board of Directors of COL Financial Group, Inc. (the "Company") held today, 8 February 2019, the following resolutions were approved:

1. Appointment of Atty. Sharon T. Lim as a member of the Nomination Committee.
2. Setting of the Annual Stockholders' Meeting on 29 March 2019, Friday, 2:00 p.m. at Crowne Plaza Manila Galleria, Ortigas Avenue corner Asian Development Bank Avenue, Ortigas Center.

Only stockholders of record at the close of business day on 28 February 2019 are entitled to notice of and to vote at said meeting and any adjournment thereof. As per the By-Laws of the Company, the Stock and Transfer Books of the Company will be closed from 28 February 2019 to 07 March 2019.

Thank you.

**SIGNATURES**

Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

COL Financial Group, Inc.  
Issuer

8 February 2019  
Date

  
.....  
**STEPHANIE FAYE B. REYES**  
Assistant Corporate Secretary

Receiving

# COVER SHEET

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S.E.C. Registration Number

COL FINANCIAL GROUP, INC.

(Company's Full Name)

24 / F P S E C E N T R E E A S T T O W E R  
E X C H A N G E R O A D O R T I G A S C E N T E R  
P A S I G C I T Y

(Business Address: No. Street City/Town/Province)

Atty. Sharon T. Lim

Contact Person

636-54-11

Company Telephone Number

1 2

Month

3 1

Day

Fiscal Year

SEC FORM 17-C

FORM TYPE

0 3

Month

Day

Annual Meeting

Secondary License Type, If Applicable

Dept. Requiring this Doc.

Amended Articles Number/Section

Total Number of Stockholders

Total Amount of Borrowings

Domestic

none

Foreign

To be accomplished by SEC Personnel concerned

File Number

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LCU

Document I.D.

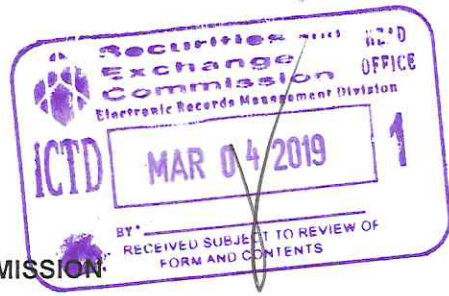
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Cashier

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SECURITIES AND EXCHANGE COMMISSION

SEC FORM 17-C

CURRENT REPORT UNDER SECTION 17 OF THE SECURITIES REGULATION CODE AND SRC RULE 17.2(c) THEREUNDER

- 1. 1 March 2019  
Date of Report (Date of earliest event reported)
- 2. SEC Identification Number A199910065 3. BIR Tax Identification No. 203523208
- 4. COL Financial Group, Inc.  
Exact name of issuer as specified in its charter
- 5. Manila, Philippines 6.  (SEC Use Only)  
Province, country or other jurisdiction of incorporation Industry Classification Code:
- 7. 24/F East Tower, PSE Centre, Exchange Road, Ortigas Centre, Pasig City 1605  
Address of principal office Postal Code
- 8. (02) 636-5411  
Issuer's telephone number, including area code
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Former name or former address, if changed since last report
- 10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA

Title of Each Class	Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding
<u>Common Shares</u>	<u>476,000,000</u>

11. Indicate the item numbers reported herein: Item #9

**Item 9. Other Events**

Please be informed that at the Special Board Meeting of the Board Directors of COL Financial Group, Inc. held today, 1 March 2019, the Board approved and authorized the release of the Audited Financial Statements of the Group and the Parent Company as of December 31, 2018 and 2017 and for each of the three (3) years in the period ended December 31, 2018.

Thank you.

**SIGNATURES**

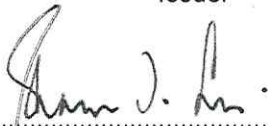
Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**COL Financial Group, Inc.**

Issuer

**1 March 2019**

Date



.....  
**SHARON T. LIM**  
Corporate Secretary

# COVER SHEET

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S.E.C. Registration Number

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(Company's Full Name)

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(Business Address: No. Street City/Town/Province)

Atty. Sharon T. Lim

Contact Person

636-54-11

Company Telephone Number

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Month    Day  
Fiscal Year

SEC FORM 17-C

FORM TYPE

0 3        
Month    Day  
Annual Meeting

Secondary License Type, If Applicable

Dept. Requiring this Doc.

Amended Articles Number/Section

Total Number of Stockholders

Total Amount of Borrowings

Domestic

none

Foreign

To be accomplished by SEC Personnel concerned

File Number

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SECURITIES AND EXCHANGE COMMISSION

SEC FORM 17-C

CURRENT REPORT UNDER SECTION 17  
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AND SRC RULE 17.2(c) THEREUNDER



1. 29 March 2019  
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Title of Each Class

Number of Shares of Common Stock  
Outstanding and Amount of Debt Outstanding

Common Shares

476,000,000

- .....
11. Indicate the item numbers reported herein: Item #9



**Item 9. Other Events**

Please be informed that at the Special Meeting of the Board of Directors of COL Financial Group, Inc. held today, 29 March 2019, the Board approved the declaration and payment from the unappropriated retained earnings of the Company as of 31 December 2018, the following cash dividends:

- a. Regular Cash Dividend of ₱ 0.21 per share, payable on 3 May 2019 to stockholders of record as of 16 April 2019; and
- b. Special Cash Dividend of ₱ 0.64 per share, payable on 3 May 2019 to stockholders of record as of 16 April 2019.

Thank you.

**SIGNATURES**

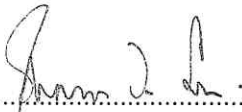
Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

**COL Financial Group, Inc.**

Issue

**29 March 2019**

Date



.....  
**SHARON T. LIM**  
Corporate Secretary

ready up

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S.E.C. Registration Number

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(Company's Full Name)

24 / F P S E C E N T R E E A S T T O W E R  
E X C H A N G E R O A D O R T I G A S C E N T E R  
P A S I G C I T Y

(Business Address: No. Street City/Town/Province)

Atty. Sharon T. Lim

Contact Person

636-54-11

Company Telephone Number

1 2    3 1  
Month    Day  
Fiscal Year

SEC FORM 17-C

FORM TYPE

0 3        
Month    Day  
Annual Meeting

Secondary License Type, If Applicable

Dept. Requiring this Doc.

Amended Articles Number/Section

Total Number of Stockholders

Total Amount of Borrowings

Domestic

none

Foreign

To be accomplished by SEC Personnel concerned

File Number

LCU

Document I.D.

Cashier

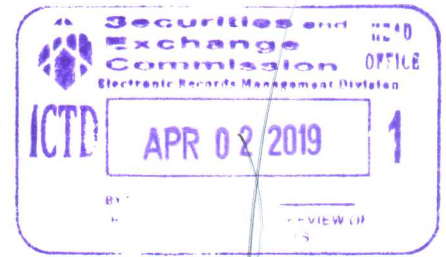
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SECURITIES AND EXCHANGE COMMISSION

SEC FORM 17-C

CURRENT REPORT UNDER SECTION 17  
OF THE SECURITIES REGULATION CODE  
AND SRC RULE 17.2(c) THEREUNDER



1. **29 March 2019**  
Date of Report (Date of earliest event reported)
2. SEC Identification Number **A199910065**
3. BIR Tax Identification No. **203523208**
4. **COL Financial Group, Inc.**  
Exact name of issuer as specified in its charter
5. **Manila, Philippines**  
Province, country or other jurisdiction of incorporation
6. Industry Classification Code:  (SEC Use Only)
7. **24/F East Tower, PSE Centre, Exchange Road, Ortigas Centre, Pasig City 1605**  
Address of principal office Postal Code
8. **(02) 636-5411**  
Issuer's telephone number, including area code
9. **Not applicable**  
Former name or former address, if changed since last report
10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA

Title of Each Class

Number of Shares of Common Stock  
Outstanding and Amount of Debt Outstanding

**Common Shares**

**476,000,000**

- .....
11. Indicate the item numbers reported herein: **Item #9**

## Item 9. Other Events

Please be informed that at the Annual Stockholders' Meeting of **COL Financial Group, Inc.** held today, 29 March 2019, the following matters were taken up and approved by the stockholders:

1. Election of the following as members of the Board of Directors for the year 2019 - 2020:

Edward K. Lee	-	Chairman
Alexander C. Yu	-	Vice Chairman
Hernan G. Lim	-	Director
Conrado F. Bate	-	Director
Catherine L. Ong	-	Director
Raymond C. Yu	-	Director
Paulwell Han	-	Director
Wellington C. Yu	-	Director
Kido Hokushin	-	Director
Khoo Boo Boon	-	Lead Independent Director
Arthur Gerrard Gindap	-	Independent Director

2. Approval of the 2018 Audited Financial Statements; and
3. Re-appointment of SGV & Co. as external auditors for the ensuing year.

Immediately after the stockholders' meeting, the newly elected directors convened for an organizational meeting to appoint/elect the following corporate officers and members of the various committees:

### 1. Officers

Edward K. Lee	-	Chairman
Alexander C. Yu	-	Vice Chairman
Conrado F. Bate	-	President and CEO
Catherine L. Ong	-	Treasurer and CFO
	-	Chief Audit Executive
	-	Chief Risk Officer
Sharon T. Lim	-	Corporate Secretary
	-	Compliance Officer
Juan G. Barredo	-	Assistant Corporate Secretary
Stephanie Faye B. Reyes	-	Assistant Corporate Secretary

### 2. Committees and Memberships

#### Compensation and Remuneration Committee

Alexander C. Yu	-	Chairman
Wellington C. Yu	-	Member
Catherine L. Ong	-	Member

Audit Committee

Khoo Boo Boon	-	Chairman
Raymond C. Yu	-	Member
Hernan G. Lim	-	Member
Wellington C. Yu	-	Member

Nomination Committee

Arthur Gerrard Gindap	-	Chairman
Alexander C. Yu	-	Member
Sharon T. Lim	-	Member

Thank you.

**SIGNATURES**

Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

COL Financial Group, Inc.

Issuer

29 March 2019

Date

.....  
**STEPHANIE FAYE B. REYES**  
Assistant Corporate Secretary



# COVER SHEET

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S.E.C. Registration Number

COL FINANCIAL GROUP, INC.

(Company's Full Name)

24 / F EAST TOWER PSE CENTRE  
 EXCHANGE ROAD ORTIGAS CENTER  
 PASIG CITY

(Business Address: No. Street City/Town/Province)

Atty. Sharon T. Lim

Contact Person

636-54-11

Company Telephone Number

1 2    3 1  
 Month    Day  
 Fiscal Year

**SEC FORM 17-C**

FORM TYPE

0 3        
 Month    Day  
 Annual Meeting

Secondary License Type, If Applicable

Dept. Requiring this Doc.

Amended Articles Number/Section

Total Number of Stockholders

Total Amount of Borrowings

Domestic    none  
 Foreign

To be accomplished by SEC Personnel concerned

File Number

LCU

Document I.D.

Cashier

STAMPS

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SECURITIES AND EXCHANGE COMMISSION

SEC FORM 17-C

CURRENT REPORT UNDER SECTION 17  
OF THE SECURITIES REGULATION CODE  
AND SRC RULE 17.2(c) THEREUNDER



1. 17 May 2019  
Date of Report (Date of earliest event reported)
2. SEC Identification Number A199910065 3. BIR Tax Identification No. 203523208
4. COL Financial Group, Inc.  
Exact name of issuer as specified in its charter
5. Manila, Philippines 6.  (SEC Use Only)  
Province, country or other jurisdiction of incorporation Industry Classification Code:
7. 24/F East Tower, PSE Centre, Exchange Road, Ortigas Centre, Pasig City 1605  
Address of principal office Postal Code
8. (02) 636-5411  
Issuer's telephone number, including area code
9. Not Applicable  
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10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA

Title of Each Class	Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding
<u>Common Shares</u>	<u>476,000,000</u>

11. Indicate the item numbers reported herein: Item #9

**Item 9. Other Events**

Please be informed that at the Board of Directors meeting of COL Financial Group, Inc. ("the Company"), held today, 17 May 2019, the Operations and Financial Report of the Company for the quarter ended 31 March 2019 was approved.

The Company's Quarterly Report on SEC Form 17-Q for the said period will be filed on or before 20 May 2019.

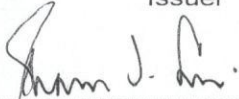
Thank you.

**SIGNATURES**

Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

COL Financial Group, Inc.  
Issuer

17 May 2019  
Date

  
.....  
ATTY. SHARON T. LIM  
Corporate Secretary



108192019000993



## SECURITIES AND EXCHANGE COMMISSION

SEC Building, EDSA, Greenhills, Mandaluyong City, Metro Manila, Philippines  
Tel: (632) 726-0931 to 39 Fax: (632) 725-5293 Email: mis@sec.gov.ph

### Barcode Page

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**Receiving Branch** : SEC Head Office

**Receipt Date and Time** : August 19, 2019 12:02:55 PM

**Received From** : Head Office

Company Representative

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Doc Source

Company Information

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**SEC Registration No.** A199910065

**Company Name** COL FINANCIAL GROUP, INC. DOING BUSINESS UNDER-  
THE NAMES AND STYLES OF CITISECONLINE.COM; COL FINANCIAL

**Industry Classification**

**Company Type** Stock Corporation

**Document Information**

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**Document ID** 108192019000993

**Document Type** 17-C (FORM 11-C:CURRENT DISCL/RPT)

**Document Code** 17-C

**Period Covered** August 16, 2019

**No. of Days Late** 0

**Department** CFD

**Remarks**



Reviewing

# COVER SHEET

A 1 9 9 9 1 0 0 6 5

S.E.C. Registration Number

COL FINANCIAL GROUP, INC.

(Company's Full Name)

24 / F EAST TOWER PSE CENTRE  
EXCHANGE ROAD ORTIGAS CENTER  
PASIG CITY

(Business Address: No. Street City/Town/Province)

Atty. Sharon T. Lim

Contact Person

636-54-11

Company Telephone Number

1 2    3 1

Month    Day  
Fiscal Year

SEC FORM 17-C

FORM TYPE

0 3      

Month    Day  
Annual Meeting

Secondary License Type, If Applicable

Dept. Requiring this Doc.

Dept. Requiring this Doc.

Amended Articles Number/Section

Amended Articles Number/Section

Total Number of Stockholders

Total Number of Stockholders

Total Amount of Borrowings

Domestic

Domestic

none

Foreign

To be accomplished by SEC Personnel concerned

File Number

File Number

LCU

LCU

Document I.D.

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Cashier

Cashier

STAMPS

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SECURITIES AND EXCHANGE COMMISSION

SEC FORM 17-C

CURRENT REPORT UNDER SECTION 17  
OF THE SECURITIES REGULATION CODE  
AND SRC RULE 17.2(c) THEREUNDER

1. **16 August 2019**  
Date of Report (Date of earliest event reported)
2. SEC Identification Number **A199910065** 3. BIR Tax Identification No. **203523208**
4. **COL Financial Group, Inc.**  
Exact name of issuer as specified in its charter
5. **Manila, Philippines** 6.  (SEC Use Only)  
Province, country or other jurisdiction of incorporation Industry Classification Code:
7. **24/F East Tower, PSE Centre, Exchange Road, Ortigas Centre, Pasig City 1605**  
Address of principal office Postal Code
8. **(02) 636-5411**  
Issuer's telephone number, including area code
9. **Not Applicable**  
Former name or former address, if changed since last report
10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the RSA

Title of Each Class	Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding
<b><u>Common Shares</u></b>	<b><u>476,000,000</u></b>

11. Indicate the item numbers reported herein: **Item #9**

**Item 9. Other Events**

Please be informed that the Board of Directors of COL Financial Group, Inc. ("the Company"), during its meeting held today, 16 August 2019, approved the Operations and Financial Report of the Company for the quarter ended 30 June 2019.

The Company's Quarterly Report on SEC Form 17-Q for the said period will be filed on or before 19 August 2019.

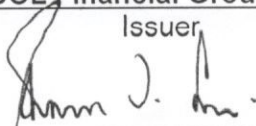
Thank you.

**SIGNATURES**

Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

COL Financial Group, Inc.

Issuer



.....  
**ATTY. SHARON T. LIM**  
*Corporate Secretary*

16 August 2019

Date



109092019000592



## SECURITIES AND EXCHANGE COMMISSION

SEC Building, EDSA, Greenhills, Mandaluyong City, Metro Manila, Philippines  
Tel: (632) 726-0931 to 39 Fax: (632) 725-5293 Email: mis@sec.gov.ph

### Barcode Page

The following document has been received:

**Receiving Officer/Encoder** : Fernando T. Fernandez  
**Receiving Branch** : SEC Head Office  
**Receipt Date and Time** : September 09, 2019 12:03:09 PM  
**Received From** : Head Office

Company Representative

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Doc Source

Company Information

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**SEC Registration No.** A199910065  
**Company Name** COL FINANCIAL GROUP, INC. DOING BUSINESS UNDER-  
THE NAMES AND STYLES OF CITISECONLINE.COM; COL FINANCI/  
**Industry Classification**  
**Company Type** Stock Corporation

### Document Information

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**Document ID** 109092019000592  
**Document Type** 17-C (FORM 11-C:CURRENT DISCL/RPT)  
**Document Code** 17-C  
**Period Covered** September 06, 2019  
**No. of Days Late** 0  
**Department** CFD  
**Remarks**

Receiving

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S.E.C. Registration Number

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Atty. Sharon T. Lim

Contact Person

636-54-11

Company Telephone Number

1 2      3 1  
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SEC FORM 17-C

FORM TYPE

0 3                 
Month      Day  
Annual Meeting

Secondary License Type, If Applicable

Dept. Requiring this Doc.

Amended Articles Number/Section

Total Number of Stockholders

Total Amount of Borrowings

Domestic

none

Foreign

To be accomplished by SEC Personnel concerned

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SECURITIES AND EXCHANGE COMMISSION

SEC FORM 17-C

CURRENT REPORT UNDER SECTION 17  
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1. 6 September 2019  
Date of Report (Date of earliest event reported)
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Exact name of issuer as specified in its charter
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Number of Shares of Common Stock  
Outstanding and Amount of Debt Outstanding

Common Shares

476,000,000

- .....
11. Indicate the item numbers reported herein: Item #9



**Item 9. Other Events**

Please be informed that the Securities and Exchange Commission has approved the primary registration of the following subsidiaries of the Company:

1. COL Equity Index Unitized Mutual Fund Inc.
2. COL Cash Management Unitized Mutual Fund Inc.
3. COL Investment Management Inc.

**SIGNATURES**

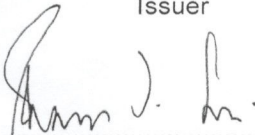
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**COL Financial Group, Inc.**

Issuer

**6 September 2019**

Date



.....  
**Atty. Sharon T. Lim**  
Corporate Secretary



110092019000873



## SECURITIES AND EXCHANGE COMMISSION

SEC Building, EDSA, Greenhills, Mandaluyong City, Metro Manila, Philippines  
Tel: (632) 726-0931 to 39 Fax: (632) 725-5293 Email: mis@sec.gov.ph

### Barcode Page

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**Receiving Branch** : SEC Head Office

**Receipt Date and Time** : October 09, 2019 10:35:51 AM

**Received From** : Head Office

Company Representative

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Doc Source

Company Information

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SEC Registration No. A199910065

Company Name COL FINANCIAL GROUP, INC. DOING BUSINESS UNDER-  
THE NAMES AND STYLES OF CITISECONLINE.COM; COL FINANCI/

Industry Classification

Company Type Stock Corporation

### Document Information

---

Document ID	110092019000873
Document Type	17-C (FORM 11-C:CURRENT DISCL/RPT)
Document Code	17-C
Period Covered	October 07, 2019
No. of Days Late	0
Department	CFD
Remarks	

Receiving

# COVER SHEET

A 1 9 9 9 1 0 0 6 5

S.E.C. Registration Number

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(Company's Full Name)

24 / F EAST TOWER PSE CENTRE  
EXCHANGE ROAD ORTIGAS CENTER  
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(Business Address: No. Street City/Town/Province)

Atty. Sharon T. Lim

Contact Person

636-54-11

Company Telephone Number

1 2

Month

3 1

Day

Fiscal Year

SEC FORM 17-C

FORM TYPE

0 3

Month

Day

Annual Meeting

Secondary License Type, If Applicable

Dept. Requiring this Doc.

Amended Articles Number/Section

Total Number of Stockholders

Total Amount of Borrowings

Domestic

none

Foreign

To be accomplished by SEC Personnel concerned

File Number

\_\_\_\_\_

LCU

Document I.D.

\_\_\_\_\_

Cashier

STAMPS

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SECURITIES AND EXCHANGE COMMISSION

SEC FORM 17-C

CURRENT REPORT UNDER SECTION 17  
OF THE SECURITIES REGULATION CODE  
AND SRC RULE 17.2(c) THEREUNDER

1. 7 October 2019  
Date of Report (Date of earliest event reported)
2. SEC Identification Number A199910065 3. BIR Tax Identification No. 203523208
4. COL Financial Group, Inc.  
Exact name of issuer as specified in its charter
5. Manila, Philippines Province, country or other jurisdiction  
of incorporation
6.  (SEC Use Only)  
Industry Classification Code:
7. 24/F East Tower, PSE Centre, Exchange Road, Ortigas Centre, Pasig City 1605  
Address of principal office Postal Code
8. (02) 636-5411  
Issuer's telephone number, including area code
9. Not Applicable  
Former name or former address, if changed since last report
10. Securities registered pursuant to Sections 8 and 12 of the SRC or Sections 4 and 8 of the  
RSA

Title of Each Class	Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding
<u>Common Shares</u>	<u>476,000,000</u>

11. Indicate the item numbers reported herein: Item #9

**Item 9. Other Events**

Please be informed of the new contact details of COL Financial Group, Inc. as follows:

Telephone Number : (02) 8636-5411  
Fax Number : (02) 8634-6958

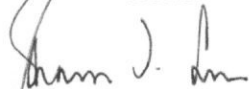
Thank you.

**SIGNATURES**

Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

COL Financial Group, Inc.

Issuer



.....  
**ATTY. SHARON T. LIM**

*Corporate Secretary*

8 October 2019

Date





111182019000563



## SECURITIES AND EXCHANGE COMMISSION

SEC Building, EDSA, Greenhills, Mandaluyong City, Metro Manila, Philippines  
Tel: (632) 726-0931 to 39 Fax: (632) 725-5293 Email: mis@sec.gov.ph

### Barcode Page

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Company Representative

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Doc Source

Company Information

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**SEC Registration No.** A199910065  
**Company Name** COL FINANCIAL GROUP, INC. DOING BUSINESS UNDER-  
THE NAMES AND STYLES OF CITISECONLINE.COM; COL FINANCI/

Industry Classification

**Company Type** Stock Corporation

**Document Information**

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**Document ID** 111182019000563  
**Document Type** 17-C (FORM 11-C:CURRENT DISCL/RPT)  
**Document Code** 17-C  
**Period Covered** November 15, 2019  
**No. of Days Late** 0  
**Department** CFD  
**Remarks**

# COVER SHEET

A 1 9 9 9 1 0 0 6 5

S.E.C. Registration Number

COL FINANCIAL GROUP, INC.

(Company's Full Name)

24 / F EAST TOWER PSE CENTRE  
EXCHANGE ROAD ORTIGAS CENTER  
PASIG CITY

(Business Address: No. Street City/Town/Province)

Atty. Sharon T. Lim

Contact Person

636-54-11

Company Telephone Number

1 2    3 1  
Month    Day  
Fiscal Year

SEC FORM 17-C

FORM TYPE

0 3        
Month    Day  
Annual Meeting

Secondary License Type, If Applicable

Dept. Requiring this Doc.

Amended Articles Number/Section

Total Number of Stockholders

Total Amount of Borrowings

Domestic

none

Foreign

To be accomplished by SEC Personnel concerned

File Number

LCU

Document I.D.

Cashier

STAMPS

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SECURITIES AND EXCHANGE COMMISSION

SEC FORM 17-C

CURRENT REPORT UNDER SECTION 17  
OF THE SECURITIES REGULATION CODE  
AND SRC RULE 17.2(c) THEREUNDER

1. 15 November 2019  
Date of Report (Date of earliest event reported)
2. SEC Identification Number A199910065 3. BIR Tax Identification No. 203523208
4. COL Financial Group, Inc.  
Exact name of issuer as specified in its charter
5. Manila, Philippines 6.  (SEC Use Only)  
Province, country or other jurisdiction of incorporation Industry Classification Code:
7. 24/F East Tower, PSE Centre, Exchange Road, Ortigas Centre, Pasig City 1605  
Address of principal office Postal Code
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Issuer's telephone number, including area code
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Title of Each Class	Number of Shares of Common Stock Outstanding and Amount of Debt Outstanding
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<u>Common Shares</u>	<u>476,000,000</u>
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- .....
11. Indicate the item numbers reported herein: Item #9

**Item 9. Other Events**

Please be informed that at the Board of Directors meeting of COL Financial Group, Inc. ("the Company"), held today, 15 November 2019, the Operations and Financial Report of the Company for the quarter ended 30 September 2019 was approved.

The Company's Quarterly Report on SEC Form 17-Q for the said period will be filed on or before 20 November 2019.

Thank you.

**SIGNATURES**

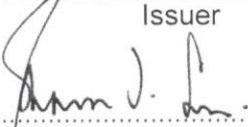
Pursuant to the requirements of the Securities Regulation Code, the issuer has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

COL Financial Group, Inc.

Issuer

15 November 2019

Date



.....  
**ATTY. SHARON T. LIM**

*Corporate Secretary*